

Lib Directorate Details

Directorate

Chief Fire Officer

Profile Totals					Total 0
Changes					1 -8

Total Risks including Unassigned 1

0	0	0	0	0
0	0	0	0	0
0	0	0	1	0
0	0	0	0	0
0	0	0	0	0

Risk Register - Strategic Risk Register

Manager Jo Turton

Profile Totals					Total 0
Changes					1 -8

Total Risks including Unassigned 1

0	0	0	0	0
0	0	0	0	0
0	0	0	1	0
0	0	0	0	0
0	0	0	0	0

Risk Title	Risk Ref	Risk Owner	Control Progress	Last Review date	Next Review Date
Service unable to effectively communicate with our mobile assets and partner agencies (including ESMCP & COMOS Projects)	SRR150008	Matt Furber		11/01/2019	11/02/2019

Triggers/Sources	Impacts/Consequences	Uncontrollable Rating	Control Measures	Control Status	Target Date	Control Progress	Current Risk Rating	Previous Current Rating
Failure to successfully implement National Airwave replacement Programme Sufficient Airwave hardware unavailable Government failure of ESMCP Service MDT's not fit for purpose Mobilising and/or ICCS (CAD) failure Collaboration with other partners/agencies hindering effective implementation of hardware solutions H41/H45	This may result in the Service failing to meet its statutory requirements as set out within the FRSA 2004, CCA 2004, H&SAW 1974. Loss of Critical Communications Infrastructure Reduction in public & staff safety Loss of public trust Reputational damage Additional Financial Burden Central and Local Government Scrutiny Service is unable to provide safe systems of work	Risk - High 16	<ul style="list-style-type: none"> Communications during a widespread power outage <i>Mark Samuels</i> Implementation of the Communication Systems, Mobilising and Operational Solutions Programme <i>Matt Furber</i> ECFRS Control Business Continuity Plan <i>Mark Samuels</i> 	In Progress Effective Under Review	29/03/2019 30/11/2019	0 100 0	Risk - Medium 12 Risk - Major Possible	20 -8

Review Comments All risk assessment scores remain accurate. FRSs await the outcome of the national review of the FBC and notification of regional transition funding for 2019/20. ECFRS is working with RemsdaQ, Frequentis and Airbus to agree the on going provision of 12 months support and maintenance for existing systems following contract expiry. The costs associated with the KEP CAD/ICCS solution are higher than anticipated. All project activity, with the exception of the on-going support and maintenance discussions, has been paused awaiting decision on direction of travel from the PFCC
11/01/2019

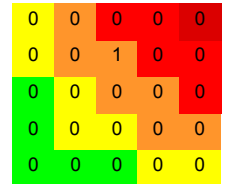
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Total Risks including Unassigned 1

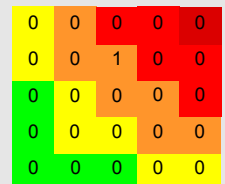


Risk Register - Strategic Risk Register

Manager Jo Turton



Total Risks including Unassigned 1



Risk Title	Risk Ref	Risk Owner	Control Progress	Last Review date	Next Review Date
Failure to comply with the Data Protection Act 2018 and General Data Protection Regulations 2016	SRR150009	Matt Furber	64 % complete	13/01/2019	13/02/2019

Triggers/Sources	Impacts/Consequences	Uncontroll ed Rating	Control Measures	Control Status	Target Date	Control Progress	Current Risk Rating	Previous Current Rating
No or inadequate Information Governance arrangements (E.g., no Data Protection Policy and Information Security Policy) Asset Register and Data Flow Mapping not in place. No training programme for staff awareness Third party contracts do not contain the relevant clauses No or inadequate Data Privacy Impact Assessments (E.g., inadequate Privacy Impact Assessments at contract stages) No Privacy Notices No or inadequate Consent Notices Poor Data Security Human Error No Data Breach process No Data Sharing and Processing Agreements No Data Protection Officer Low staff morale resulting in mis-use of data for personal	Breach of legislation Reputational damage, which may hinder community engagement and bring unwanted attention on the service Compensation claims from individuals Service delivery may be impacted (how? Be specific) Impact on individuals such as potential for identity theft, fraud and disadvantage Heavy fines from Information Commissioner Inappropriate or illegal sharing of data Asset registers do not contain the correct data	Risk - Very Hig 25	<ul style="list-style-type: none"> • Training and awareness programme established for the Data Protection Act <i>Hope Osayande</i> • Purpose limitations - Personal data shall be collected for specified, explicit and legitimate purposes and not further processed <i>Tracy King</i> • Lawfulness, fairness & transparency - Personal data shall be processed lawfully, fairly and in a transparent manner <i>Tracy King</i> • Data Protection Accountability <i>Hope Osayande</i> • Data Minimisation - Personal data shall be adequate, relevant and limited to what is necessary in relation to our purpose(s) <i>Hope Osayande</i> 	In Progress In Progress In Progress Effective Implemented	 01/04/2019 31/03/2019	82 60 85 80 80	Risk - Medium 12 Risk - Significant Likely	9 3

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grievance Lack of due diligence on Third Party contractors (E.g Cloud hosting and outsourcing)		<ul style="list-style-type: none"> • Integrity and Confidentiality - Data is processed in a manner that ensures appropriate security of the personal data <i>Tracy King</i> 	Under Review	28/02/2019	5	
		<ul style="list-style-type: none"> • Storage limitations - Personal data is kept for no longer than is necessary <i>Hope Osayande</i> 	In Progress	29/03/2019	80	
		<ul style="list-style-type: none"> • Accuracy - Personal data is accurate and, where necessary, kept up to date <i>Tracy King</i> 	In Progress		30	
		<ul style="list-style-type: none"> • Accountability and Governance - recognition that we are responsible for complying with GDPR & able to demonstrate our compliance <i>Tracy King</i> 	Effective		70	

Review Comments Further improvement to Control Measures and related actions carried out. All Control Measures and Actions structured to ensure good performance against each Data Protection principle
13/01/2019

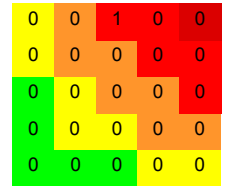
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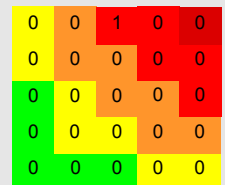


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Manager Jo Turton



Total Risks including Unassigned 1



Risk Title	Risk Ref	Risk Owner	Control Progress	Last Review date	Next Review Date
False or inaccurate data limits the Service's future planning and decision making leading to the Service being unable to plan or deliver an effective or efficient service	SRR150015	Matt Furber		11/01/2019	11/02/2019

Triggers/Sources	Impacts/Consequences	Uncontrollable Rating	Control Measures	Control Status	Target Date	Control Progress	Current Risk Rating	Previous Current Rating
Poor risk information management for the IRS Poor culture Lack of detail provided by OIC Lack of questioning by ConOps in order to fulfil IRS requirements Time constraints in moments of spare conditions Delay in obtaining data No quality assurance process Poor training and situational awareness of OICs/crews Potential lack of understanding of available guidance on IRS procedures Inadequate systems/technology in Control to record Ineffective engagement leading to dependencies between systems being missed or incorrectly prioritised Delays to system upgrades creating incompatibility between systems	Death or serious injury to staff Loss of critical information Poor short term/long term decision making based on false/inaccurate data Breach of statutory duty Negative media coverage/reputational damage with partners, stakeholders and the public Ambiguous and/or incorrect information into the IRS Home Office receiving false/ambiguous data Inability to respond to Coroner's Court questions Criminal Prosecution In an extreme case injury (or death) could result if turn out times are falsified (i.e. Appliance sent from wrong station resulting in a delay to incident). Extra managerial time required due to the need to check/collate data	Risk - High 15	<ul style="list-style-type: none"> There is a project established to get CRM working more effectively <i>Moira Bruin</i> Business Continuity Arrangements <i>Dave Bill</i> Business Continuity Arrangements <i>Neil Fenwick</i> Business Continuity Arrangements <i>Tracy King</i> Reporting of Poor Performance <i>Ben Fragola</i> Update and review the IRS Quality Assurance procedure <i>Tracy King</i> More effective means of managing central Training data <i>Colette Black</i> 	In Progress Implemented In Progress In Progress Implemented Approved Proposed	01/04/2019 31/12/2018 15/03/2019 29/03/2019 31/12/2018 29/03/2019 31/03/2019	0 100 5 70 100 0 0	Risk - High 15 Risk - Significant Almost Certain	12 3

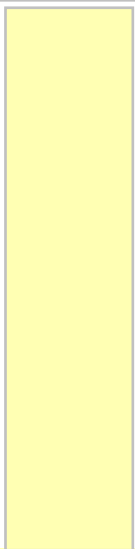
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		<ul style="list-style-type: none"> • IRS Training <i>Tracy King</i> 	Effective		100	
		<ul style="list-style-type: none"> • Quality Assurance of IRS Data <i>Tracy King</i> 	Effective	31/12/2018	100	
		<ul style="list-style-type: none"> • Provide learning to upskill IRS user <i>Neil Fenwick</i> 	In Progress	21/03/2019	5	
		<ul style="list-style-type: none"> • Ops Assurance - Completion of IRS inputs within 48 hours <i>Danny Partridge</i> 	Effective		100	
		<ul style="list-style-type: none"> • Deliver 4i upgrade <i>Paul Gardiner</i> 	Proposed	26/04/2019	0	
		<ul style="list-style-type: none"> • Re-establish the 4i/ERB interface <i>Peter Morath</i> 	Proposed	30/08/2019	5	



Review Comments MF has reviewed the current risk scores and is satisfied that they remain accurate. Outstanding control reviews have been chased. This risk remains a concern as it has the potential to impact the Service IRMP process the initiation of which is imminent. It will also impact on the Services ability to provide data to the HMICFRS when requested. Change board 22Jan19 will be asked to support activity against 4 key priorities which all link to data flow and data management, these are CRM implementation, ERB upgrade, TASK replacement and 4i upgrade.

The next review point has been reduced from 3 months to 1 month.

11/01/2019

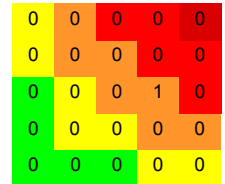
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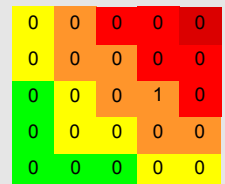


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Total Risks including Unassigned 1



Risk Title	Risk Ref	Risk Owner	Control Progress	Last Review date	Next Review Date
The Service does not provide the training to ensure that staff have the skills required to provide an effective operational response to the Essex public and ensure the safety of operational staff in line with the Health and Safety at Work Act. The Service does not provide training to ensure that all employees have the skills to carry out their roles.	SRR150020	Colette Black	48 % complete	10/01/2019	10/04/2019

Cross reference this risk to SRR150014

Triggers/Sources	Impacts/Consequences	Uncontrollable Rating	Control Measures	Control Status	Target Date	Control Progress	Current Risk Rating	Previous Current Rating
Inadequate facilities on fire stations Poor or lack of critical training facilities (i.e. BA Chambers/hot fire training) Inability to deliver centralised courses Poor training and competence monitoring systems in place Staff not assessed/verified in critical training areas Training materials/resources provided out of date or of a poor quality Unexpected loss of skill due to attrition Insufficient time or capacity to complete required training and	Death or serious injury to Service person (s) and/or member of the public Low staff morale leading to poor standards/professionalism Inappropriate techniques leading to poor firefighting/rescue standards impacting of the effectiveness of our response Poor succession planning leading to skills gap Gaps due to attending less operational incidents not address through effective training Police investigation PI claims Corporate manslaughter prosecution	Risk - High 16	<ul style="list-style-type: none"> ECFRS adopting and proactively engaging with the regional NOG work <i>Greg Keys</i> The new temporary Group Manager in place to provide additional resource to Training <i>Colette Black</i> Updated L&D priorities agreed by SLT <i>Paul Chipperfield</i> TASK (Training recording system) provision reviewed and a proposed specification provided. <i>Claire Budgen</i> 	In Progress In Progress Implemented In Progress	01/07/2019 11/01/2019 31/03/2019 29/03/2019	0 10 0 75	Risk - Medium 12 Risk - Major Possible	

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<p>development Prolonged industrial action leading to lack of training Inefficient or ineffective quality assurance systems Watch based managers not having the skills or resources to deliver localised training System to record competence and training attendance not fit for purpose there for leading to skills gap/fade Failure to adopt the National Operational Guidance work being done in the region</p>	<p>Investigation by the HSE High work related injuries/poor attendance rates NOG - Service procedures not in line with National good practice</p>	<ul style="list-style-type: none"> • Risk critical training updated manually and reported to managers on a monthly basis <i>Claire Budgen</i> • L&D Advisory group established and meeting monthly <i>Claire Budgen</i> • Quality assurance processes are established <i>Paul Chipperfield</i> • Operational Learning, H&S events and monitoring of National reports feeding into training priorities <i>Danny Bruin</i> • Monthly training reports to SLT and P & R <i>Colette Black</i> 	<p>Implemented Implemented In Progress Implemented Implemented</p>	<p> 27/07/2019 </p>	<p>0 100 50 100 100</p>	<p> </p>
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Review Comments Additional funding of £500,0000 identified to invest in 6 FTE to deliver additional training and quality assurance.
10/01/2019

