

PFCC Decision Report

Please ensure all sections below are completed

Report reference number: PFCC/105/18
Classification Not protectively marked
Title of report: Financial Regulations Update
Area of County/Stakeholders affected: Countywide
Report by : Abbey Gough Date of report: 17 th July 2018 Enquiries to: abbey.gough@essex.pnn.police.uk

1. Purpose of report

The purpose of the report is to present the updated financial regulation for the Police, Fire and Crime Commissioner of Essex for approval.

2. Recommendations

It is recommended that the PFCC approve the updated financial regulations.

3. Benefits of Proposal

By adopting the Financial Regulations the PFCC and Essex Police will have updated Regulations to adhere to, which have been produced in consultation with the Force. Financial Regulations should be updated regularly as best practice.

4. Background and proposal

The Financial Regulations are set to ensure that the Police, Fire and Crime Commissioner for Essex and Essex Police conduct business effectively and adhere to sound financial management practices. The regulations are designed to establish financial responsibilities and to confer duties, rights and powers upon the PFCC, the Force and its officers and to provide clarity about the financial accountabilities of groups or individuals.

The Regulations reflect the application of best practice and the requirements of the legislation. In particular they seek to meet the criteria set out in the Financial Management Code of Practice issued by the Home Office under Section 17 of the Police Reform and Social Responsibility Act 2011 and Section 39 of the Police Act 1996.

The PFCC is responsible for approving or amending the Financial Regulations, whilst the Treasurer is responsible for maintaining and reviewing the Financial Regulations.

The previous Financial Regulations were approved and published in November 2012, with no changes or amendments made since. Agreed protocol changes have been reflected in the updated Regulations as well as recent Government guidance.

The main changes to the Financial Regulations are:

1. The procurement and completion procedures and authorisation levels
2. The virement protocol
3. The reserve transparency

The updated Regulations have been completed in consultation with the PFCC Treasurer, Force Chief Finance Officer and independent legal advice.

5. Police and Crime Plan

The Regulations are part of the overall regulatory and governance framework which assist the PFCC in scrutinising Essex Police and holding the Force to account.

6. Police Operational Implications

The Regulations have been shared with Essex Police and feedback has been received. Changes to the procurement authorisation level will impact Essex Police however the Head of Procurement is aware of the changes.

7. Financial Implications

There is no revenue or capital issues arising from the updated Regulations; however all staff and officers will need to be made aware of the updated Regulations.

8. Legal Implications

There are no legal implications; independent legal advice has been received on the Financial Regulations.

9. Staffing and other resource implications

There are no staffing implications.

10. Equality and Diversity implications

There are no equality and diversity implications.

11. Background papers

Report Approval

The report will be signed off by the OPFCC Chief Executive and Treasurer, prior to review and sign off by the PFCC / DPFCC

Chief Executive/M.O

Sign:

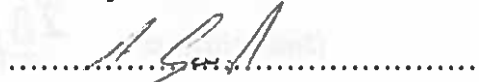


Print: David Lawson

Date: 19 July 2018

Chief Financial Officer/Treasurer

Sign:



Print: Abbey Gough

Date: 23/07/2018

Publication

Is the report for publication?

YES

NO

If 'NO', please give reasons for non-publication (state 'None' if applicable)

.....
.....

If the report is not for publication, the Chief Executive will decide if and how the public can be informed of the decision.

Redaction

If the report is for publication, is redaction required:

1. Of Decision Sheet

YES

2. Of Appendix

YES

NO

NO

If 'YES', please provide details of required redaction:

.....
.....

Date redaction carried out:

Treasurer / Chief Executive Sign Off – for Redactions only

If redaction is required, Treasurer or Chief Executive are to sign off that redaction has been completed.

Sign:

Print:

Chief Executive/Treasurer

Date signed:

Decision and Final Sign Off

I agree the recommendations to this report;

Sign: *R. C. Hirst*

Print: *R. C. Hirst*

PFCC/Deputy PFCC

Date signed: *23/7/18*

I do not agree the recommendations to this report because;

.....
.....
.....

Sign:

Print:

PFCC/Deputy PFCC

Date signed: